

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**5. Declaration or oath**

- ☐ Enclosed, executed by
- ☐ inventor(s).
  - ☐ legal representative of inventor(s). 37 CFR §1.42 OR §1.43
  - ☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.
    - ☐ this is the petition required by 37 CFR §1.47 and the statement required by 37 CFR §1.47 is also attached.
- ☒ Not Enclosed.
- ☐ Application is made by a person authorized under 37 CFR §1.41(c) on behalf of all the above named inventor(s). The declaration or oath, along with the surcharge required by 37 CFR §1.16(e) can be filed subsequently.
  - ☐ Showing that the filing is authorized.

**6. Inventorship Statement**

The inventorship for all the claims in this application are:

- ☒ The same
- ☐ Not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made,  
☐ is submitted. ☐ will be submitted.

**7. Language**

- ☒ English
- ☐ non-English
- ☐ the attached translation is a verified translation. 37 CFR 1.52(d).

**8. Assignment**

- ☐ An assignment of the invention to  
☐ is attached. ☐ will follow.

9. **Certified Copy**

Certified copy(ies) of application(s)

(Country)	(Appln. No.)	(Filed)
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(Country)	(Appln. No.)	(Filed)
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from which priority is claimed:

☐ is(are) attached. ☐ will follow.

10. **Fee Calculation (37 CFR §1.16)**

A. ☒ **Regular application**

-CLAIMS AS FILED				
	Number filed	Number Extra	Rate	Total
Basic Fee				\$790.00
Total Claims	29 - 20 = 9	x \$ 22.00		\$0
Independent Claims (37 CFR 1.16(b))	2 - 3 = 0	x \$ 82.00		\$0
Multiple dependent claim(s), if any (37 CFR 1.16(d))	0	x \$270.00		\$0

- ☐ Amendment cancelling extra claims enclosed.  
☐ Amendment deleting multiple dependencies enclosed.  
☐ Fee for extra claims is not being paid at this time.

Filing Fee Calculation \$ 790.00

B. ☐ **Design application**  
(\$330.00--37 CFR §1.16(f))

Filing Fee Calculation \$           

C. ☐ **Plant application**  
(\$540.00--37 CFR §1.16(g))

Filing Fee Calculation \$

11. Small Entity Statement(s)

☒ APPLICANT IS A SMALL ENTITY

Filing Fee Calculation (50% of A, B or C above)

\$395.00

12. Request for International-Type Search (37 CFR §1.104(d))

☒ Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

13. Fee Payment Being Made At This Time

☒ Not Enclosed

☒ No filing fee is to be paid at this time.

☐ Enclosed

<input type="checkbox"/>	basic filing fee	\$ 395.00
<input type="checkbox"/>	recording assignment (\$40.00; 37 CFR §1.21(h))	
<input type="checkbox"/>	petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached. (\$130.00; CFR §1.47 and §1.17(h))	\$
<input type="checkbox"/>	for processing an application with a specification in a non-English language. (\$130.00; 37 CFR §1.52(d) and §1.17(k))	\$
<input type="checkbox"/>	processing and retention fee (\$130.00; 37 CFR §1.53(d) and §1.21(l))	\$
<input type="checkbox"/>	fee for international-type search report (\$40.00; 37 CFR §1.21(e))	\$

Total fees enclosed \$ 395.00

14. Method of Payment of Fees

☐ Check in amount of \$390.00

☐ Charge Account No. 50- in the amount of \$. A duplicate of this transmittal is attached.

15. Authorization to Charge Additional Fees

☐ The Commissioner is hereby authorized to charge the following additional fees by this paper during the entire pendency of this application to Account No. 07-1892.

☐ 37 CFR §1.16 (a), (f) or (g) (filing fees)

☐ 37 CFR §1.16 (b), (c) and (d) (presentation of extra claims)

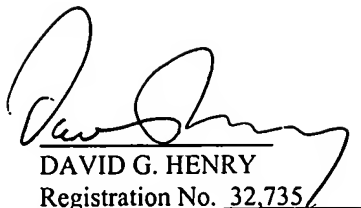
☐ 37 CFR §1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

☐ 37 CFR §1.17 (application processing fees)

☐ 37 CFR §1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR §1.311(b))

16. Instructions As To Overpayment

- ☐ credit Account No. 50-  
☒ refund

  
DAVID G. HENRY  
Registration No. 32,735  
700 Texas Center  
P.O. Box 1470  
Waco, Texas 76703-1470  
Tel. No. (254) 755-4234

☒ Incorporation by reference of added pages

- ☒ Plus Added Pages For New Application Transmittal Where Benefit of Prior U.S. Application(s) ...  
Claimed

Number of pages added \_\_\_\_\_

- ☐ Plus Added Pages for Papers Referred To In Items \_\_\_\_\_ Above

Number of pages added \_\_\_\_\_

☐ Statement Where No Further Pages Added

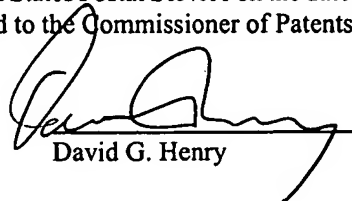
- ☐ This transmittal ends with this page.

CERTIFICATION UNDER 37 CFR 1.10

Express Mail Label Number: EL 823402772 US

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on the date indicated below in an envelope as "Express Mail Post Office to Addressee" addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

10/24/01  
Date

  
David G. Henry

**ADDED PAGES FOR APPLICATION TRANSMITTAL WHERE BENEFIT OF  
PRIOR U.S. APPLICATION(S) CLAIMED**

**17. Relate Back - 35 U.S.C. §120**

☒ Amend the Specification by inserting before the first line the sentence:

"This is a

☐ continuation

☒ continuation-in-part

☐ divisional

of copending application(s)

☒ serial number 09/411,175 filed on 10/01/99 "

☐ International Application \_\_\_\_\_ filed on  
\_\_\_\_\_ and which designated the U.S."

**18. Relate Back - 35 U.S.C. 119 Priority Claim for Prior Application**

The prior U.S. application(s), including any prior International Application designating the U.S., identified above in item 16, in turn itself claim(s) foreign priority(ies) as follows:

Country	appl. no.	filed on
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The certified copy(ies) has (have)

☐ been filed on \_\_\_\_\_ in prior application 0 / \_\_\_\_\_ which was filed on \_\_\_\_\_

☐ is (are) attached

**19. Maintenance of Copendency of Prior Application**

A. ☐ Extension of time in prior application

☐ A petition, fee and response extends the term in the pending prior application until \_\_\_\_\_

☐ A copy of the petition filed in prior application is attached

☐ No action has been taken in prior application

- B. ☐ Conditional Petition for Extension of Time in Prior Application
- ☐ A conditional petition for extension of time is being filed in the pending prior application
- ☐ A copy of the conditional petition filed in the prior application is attached

20. **Further Inventorship Statement Where Benefit of Prior Application(s) Claimed**

- (a) ☐ This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventor(s) in this application are
- ☐ the same
- ☐ less than those named in the prior application and it is requested that the following inventor(s) identified for the prior application be deleted:

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*(name(s) of inventor(s) to be deleted)*

- (b) ☒ This application discloses and claims additional disclosure by amendment and a new declaration or oath is being filed. With respect to the prior application the inventor(s) in this application are
- ☒ the same
- ☐ the following additional inventor(s) have been added

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*(name(s) of inventor(s) to be added)*

- (c) The inventorship for all the claims in this application are
- ☒ the same
- ☐ not the same, and an explanation, including the ownership of the various claims at the time the last claimed invention was made
- ☐ is submitted
- ☐ will be submitted

21. **Abandonment of Prior Application (if applicable)**

- ☐ Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time or to revive in that application is granted and when this application is granted a filing date so as to make this application copending with said prior application.

22. **Petition for Suspension of Prosecution for the Time Necessary to File an Amendment**

☐ There is provided herewith a Petition To Suspend Prosecution for the Time Necessary to File An Amendment (New Application Filed Concurrently)

☒ This application transmittal ends with this page

Patent Office

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